

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number : 10/028,284 Confirmation No.: 2969
Applicant : Jonathan BOSWELL, et al.
Filed : December 28, 2001
Title : SYSTEM AND METHOD FOR PRICING OF A FINANCIAL
PRODUCT OR SERVICE USING A WATERFALL TOOL
TC/Art Unit : 3693
Examiner: : Rajesh KHATTAR
Docket No. : 52493.000163
Customer No. : **21967**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO RESTRICTION REQUIREMENT

Sir :

In response to the Office Action mailed March 24, 2010, Applicant hereby responds to the restriction requirement. Claims 1-62 are presently pending in the application.

A. THE RESTRICTION REQUIREMENT

The Office Action asserts that restriction to one of the following inventions is required under 35 U.S.C. §121:

I. Claims 1-45, drawn to a method for analyzing a financial services pricing process by receiving data from plurality of sources and generating a waterfall that shows revenues cascading down to a pocket price to illustrate revenue leaks, classified in class 705, subclass 36.

II. Claims 46-62, drawn to a method for analyzing a financial services pricing process by receiving data from at least one source and generating a pricing